

AC –
Item No. –

As Per NEP 2020

Tolani College of Commerce (Autonomous)



Knowledge is Supreme

Title of the Course: Introduction to Financial Markets

	Programmes: (Semester II)
1	B.Com in Management Studies (B.M.S)
2	B.Com in Accounting and Finance (B.A.F)
3	B.Com in Banking and Insurance (B.B.I)

Syllabus for 2 Credits

From the Academic Year: 2024-2025

Name of the Course: Introduction to Financial Markets

Sr. No.	Heading	Particulars
1	Description of the Course :	This course is aimed at the study of fundamentals of financial markets and financial instruments, the features of modern financial markets, financial instruments, regulatory agencies and their roles in the financial markets.
2	Vertical :	Minor
3	Type :	Theory
4	Credit:	2 credits
5	Hours Allotted :	30 Hours
6	Marks Allotted:	50 Marks Continuous Evaluation : 20 Marks Semester- End : 30 Marks
7	Course Objectives: The objective of this course is to: <ol style="list-style-type: none">1. Familiarize learners with the structure and regulatory agencies of financial markets in India.2. Acquaint the learners with the operations of equity, debt, commodity, and derivative markets.	
8	Course Outcomes: After successful completion of this course a learner will be in a position to: <ol style="list-style-type: none">1. Comprehend the different types of financial markets.2. Differentiate between different types of markets players and motivated to become more financially included by participating in financial markets.	

9	Module 1: Overview of the Financial Markets (15 Hours)									
	<ul style="list-style-type: none"> • Financial Markets: Definition, functions, and types. • Role of Financial Markets: Allocation of capital, Risk management, Price discovery, Facilitating economic transactions, Mobilizing savings, Corporate governance and accountability. • Recent Trends in Financial Markets: Digitization of the financial markets, Rise of algorithmic trading, Integration of blockchain technology in financial transactions. and Emergence of fintech startups. 									
	Module 2: Equity Market and Debt Market (15 Hours)									
	<ul style="list-style-type: none"> • Equity Market: Evolution, Primary Activities – Initial Public Offer (IPO), Application Supported by Blocked Amount (ASBA), Green Shoe option, Sweat equity, ESOP, Right Shares, and Bonus Shares. • Debt Market: Evolution, Structure of Debt Market, Market Participants, Types of Debt Markets. • Recent Trends in Equity and Debt Market: Equity market- Growth of thematic investment (ETFs), Increase in Environmental, Social, and Governance (ESG) investing- Debt market-Interest rate fluctuations, Rise of green bonds and sustainable finance. 									
10	References : <ol style="list-style-type: none"> 1. Anil Agashe, Financial Services, Markets and Regulations, Himalaya Publishing House, 2010. 2. Bhole L. M., Financial Institutions & Markets, McGraw Hill, 6th edition, 2017. 3. Bhole L. M., Jitendra Mahakad, Financial Institutions and Markets: Structure Growth & Innovations, Tata McGraw Hill, 6th edition, 2017. 4. Gordon E. and Natarajan K., Financial Markets and Services, Himalaya Publishing House, 3rd edition, 2006. 5. Gupta N.K. and Monika Chopra, Financial Markets, Institutions and Services, Ane Books India Ltd., 2008. 6. M. Y. Khan, Indian Financial System, Tata McGraw Hill, 11th edition, 2019 7. Dr. S. Guruswamy, Financial Markets & Institutions, Vijay Nicole Imprints Pvt. Ltd., 2015 Vasant Desai, The Indian Financial System and Financial Market Operator, Himalaya Publishing, 2012. 									
11	Internal Continuous Assessment: 40%	Semester End Examination : 60%								
12	Continuous Evaluation through: <table border="1" data-bbox="487 1728 1240 1885" style="margin-left: auto; margin-right: auto;"> <thead> <tr> <th>Component</th> <th>Total Marks</th> </tr> </thead> <tbody> <tr> <td>1)Assignment/Case Studies</td> <td>10 Marks</td> </tr> <tr> <td>2) MCQ Based Questions</td> <td>10 Marks</td> </tr> <tr> <td>Total</td> <td>20 Marks</td> </tr> </tbody> </table> <p style="text-align: center;"><i>Note: Learner must be Present in all the components of Continuous Evaluation.</i></p>		Component	Total Marks	1)Assignment/Case Studies	10 Marks	2) MCQ Based Questions	10 Marks	Total	20 Marks
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1)Assignment/Case Studies	10 Marks									
2) MCQ Based Questions	10 Marks									
Total	20 Marks									

13	Format of Question Paper:		
	Question Paper Pattern for Semester End Examination (SEE)		
	Maximum Marks: 30		Duration: 1 Hour
	Question Number	Particular	Marks
	Q-1	Attempt any ONE of the following:(Module 1) A. Full Length Question B. Full Length Question	10
Q-2	Attempt any ONE of the following: (Module 2) A. Full Length Question B. Full Length Question	10	
Q-3	Short Notes attempt any TWO of the following: A. (Module 1) B. (Module 1) C. (Module 2) D. (Module 2)	10	

Signatures of Team Members

Sr.No	Name	Signature
1.	Dr. Sadhana Venkatesh	
2.	Ms.Jyoti Ghosh	
3.	Ms. Reshma Rajput	