

**As Per NEP 2020**

AC –  
Item No. –

**Tolani College of  
Commerce  
(Autonomous)**



Knowledge is Supreme

**Title of the Course: Indian Financial System  
Programme: B.Com (Financial Markets)  
Semester III**

**Syllabus for 4 Credits**

**From the Academic Year: 2024-2025**

## Name of the Course: Indian Financial System

Sr. No.	Heading	Particulars
1	<b>Description of the Course :</b>	The Indian Financial System course covers key aspects of India's financial landscape, including banking structures, regulatory frameworks, capital markets, and economic policies.
2	<b>Vertical :</b>	Minor
3	<b>Type :</b>	Theory
4	<b>Credit:</b>	4 credits
5	<b>Hours Allotted :</b>	60 Hours
6	<b>Marks Allotted:</b>	100 Marks Continuous Evaluation : 40 Marks Semester End : 60 Marks
7	<b>Course Objectives:</b> The objective of this course is to:	<ol style="list-style-type: none"> <li>1. gain a basic understanding of the functioning of the Indian financial system.</li> <li>2. explain how the different markets in the financial system work.</li> <li>3. have an insight on the operations of various financial institutions.</li> <li>4. examine the various measures taken in order to protect the investors.</li> </ol>
8	<b>Course Outcomes:</b> After successful completion of this course a learner will be in a position to	<ol style="list-style-type: none"> <li>1. analyze the financial market processes and their factors, and make successful financial decisions at an individual as well as company level.</li> <li>2. understand the functioning of money, capital, foreign exchange and commodities market.</li> <li>3. have a basic overview about various kinds of financial services available in the market.</li> <li>4. evaluate the role of financial institutions.</li> </ol>

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**Modules:-**

**Module 1: Overview of Indian Financial System**

**(15 Hours)**

- Overview of Financial System: Meaning, Importance, Functions.
- Components/ Constituents of Indian Financial System.
- Indian Financial System: Phases of Indian Financial System and Role of Government in development of Financial System in India.
- Structure of Indian Financial System: Banking and Non-banking Financial Institutions, Organized and Unorganized Financial Markets, Financial Assets/Instruments, Fund based and Fee based financial services.

**Module 2: Financial Markets in India**

**(15 Hours)**

- Money Market: Functions and Instruments, Role of Central Bank, Indian Money Market: An Overview, Call Money Market, Treasury Bills Market, Commercial Paper (CP) Market Certificate of Deposit (CD) Market; Concepts- Repo, Reverse Repo.
- Capital market - Primary & Secondary - Meaning, Objectives, Functions, Components of Capital Market, Instruments Traded, Methods of Marketing Securities.
- Components of primary market. Intermediaries, Stock Market.
- Stock Exchange, NSE, BSE, Derivatives.

**Module 3: Financial Services**

**(15 Hours)**

- Classification - Fund Based, Non-Fund Based and Modern Services.
- Hire Purchasing, Leasing.
- Portfolio Management - Merchant Banking.
- Factoring. Debt management.

**Module 4 : Regulatory Frameworks**

**(15 Hours)**

- RBI - Organisation, objectives, role and functions.
- Monetary policy of RBI.
- SEBI.
- Other Bodies: IRDAI. Pension fund regulatory and development authority, NABARD, Ministry of Finance, Registrar of Companies.

10	<b>References :</b> 1. Bhole, L. M., Financial Markets and Institutions, TMH, 2009. 2. Basu, A, Mazumdar, D, Datta S., Indian Financial System, ABS, 2023. 3. Khan, M. Y., Indian Financial System-Theory and Practice, TMH, 2019. 4. Majumder S., Indian Financial System, 2020. 5. Michael W. Taylor, Financial Regulation: Law and Policy,2016. 6. Nayak and Sana, Indian Financial System, Rabindra Library, 2021.																
11	<b>Internal Continuous Assessment: 40%</b>	<b>Semester End Examination : 60%</b>															
12	<b>Continuous Evaluation through:</b>  <i>Note: Learner must be Present in all the three exam components of Continuous Evaluation</i> <table border="1" data-bbox="483 804 1239 1020" style="margin-left: auto; margin-right: auto;"> <thead> <tr> <th>Component</th> <th>Total Marks</th> </tr> </thead> <tbody> <tr> <td>1)Assignment/Case Studies</td> <td>15 Marks</td> </tr> <tr> <td>2)Presentation/ /Project</td> <td>15 Marks</td> </tr> <tr> <td>3)Objective Questions</td> <td>10 Marks</td> </tr> <tr> <td><b>Total</b></td> <td><b>40 Marks</b></td> </tr> </tbody> </table>		Component	Total Marks	1)Assignment/Case Studies	15 Marks	2)Presentation/ /Project	15 Marks	3)Objective Questions	10 Marks	<b>Total</b>	<b>40 Marks</b>					
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13	<b>Format of Question Paper:</b> <b>Question Paper Pattern for Semester End Examination (SEE)</b> <b>Maximum Marks: 60</b> <span style="float: right;"><b>Duration:2 Hours</b></span> <table border="1" data-bbox="228 1199 1495 1948" style="width: 100%;"> <thead> <tr> <th>Q. No.</th> <th>Particular</th> <th>Marks</th> </tr> </thead> <tbody> <tr> <td style="text-align: center;"><b>Q-1</b></td> <td><b>Attempt any TWO the following:</b> (Module 1) A. Full Length Question B. Full Length Question C. Full Length Question</td> <td style="text-align: center;"><b>15 Marks</b></td> </tr> <tr> <td style="text-align: center;"><b>Q-2</b></td> <td><b>Attempt any TWO the following:</b> (Module 2) A. Full Length Question B. Full Length Question C. Full Length Question</td> <td style="text-align: center;"><b>15 Marks</b></td> </tr> <tr> <td style="text-align: center;"><b>Q-3</b></td> <td><b>Attempt any TWO the following:</b> (Module 3) A. Full Length Question B. Full Length Question C. Full Length Question</td> <td style="text-align: center;"><b>15 Marks</b></td> </tr> <tr> <td style="text-align: center;"><b>Q-4</b></td> <td><b>Attempt any TWO the following:</b> (Module 4) A. Full Length Question B. Full Length Question C. Full Length Question</td> <td style="text-align: center;"><b>15 Marks</b></td> </tr> </tbody> </table> <p data-bbox="228 1948 1495 2020"><b>Note :</b> Two Sub-questions of 7.5 Marks each can be of 10 /5 marks or one full length question of 15 Marks</p>		Q. No.	Particular	Marks	<b>Q-1</b>	<b>Attempt any TWO the following:</b> (Module 1) A. Full Length Question B. Full Length Question C. Full Length Question	<b>15 Marks</b>	<b>Q-2</b>	<b>Attempt any TWO the following:</b> (Module 2) A. Full Length Question B. Full Length Question C. Full Length Question	<b>15 Marks</b>	<b>Q-3</b>	<b>Attempt any TWO the following:</b> (Module 3) A. Full Length Question B. Full Length Question C. Full Length Question	<b>15 Marks</b>	<b>Q-4</b>	<b>Attempt any TWO the following:</b> (Module 4) A. Full Length Question B. Full Length Question C. Full Length Question	<b>15 Marks</b>
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### Signatures of Team Members

Sr.No	Name	Signature
1.	Mr. Shaji Kutty.	